

1 Search for a correlation between the UHECRs 2 measured by the Pierre Auger Observatory and the 3 Telescope Array and the neutrino candidate events 4 from IceCube

The IceCube Collaboration¹, The Pierre Auger Collaboration², The Telescope Array Collaboration³

¹ http://icecube.wisc.edu/collaboration/authors/icrc15_icecube

² http://www.auger.org/archive/authors_2015_ICRC.html

³ <http://www.telescopearray.org/index.php/research/collaborators>

E-mail: christov@cern.ch

We have conducted three searches for correlations between ultra-high energy cosmic rays detected by the Telescope Array and the Pierre Auger Observatory, and high-energy neutrino candidate events from IceCube. Two cross-correlation analyses with UHECRs are done: one with 39 cascades from the IceCube ‘high-energy starting events’ sample and the other with 16 high-energy ‘track events’. The angular separation between the arrival directions of neutrinos and UHECRs is scanned over. The same events are also used in a separate search using a maximum likelihood approach, after the neutrino arrival directions are stacked. To estimate the significance we assume UHECR magnetic deflections to be inversely proportional to their energy, with values 3°, 6° and 9° at 100 EeV to allow for the uncertainties on the magnetic field strength and UHECR charge. A similar analysis is performed on stacked UHECR arrival directions and the IceCube sample of through-going muon track events which were optimized for neutrino point-source searches.

Corresponding authors: A. Christov⁴, G. Golup^{*5}, J. Aublin⁶, L. Caccianiga⁶, P.L. Ghia⁶, T. Montaruli⁴, M. Rameez⁴, E. Roulet⁵, H. Sagawa⁷, P. Tinyakov⁸, M. Unger⁹

⁴ *Département de physique nucléaire et corpusculaire, Université de Genève, 24 Quai Ernest Ansermet, 1211 Genève, Switzerland.*

⁵ *Centro Atómico Bariloche, Av. Bustillo 9500, S. C. de Bariloche 8400, Argentina.*

⁶ *Laboratoire de Physique Nucléaire et de Hautes Energies (LPNHE), Universités Paris 6 et Paris 7, CNRS-IN2P3, 4 place Jussieu, 75252, Paris, France.*

⁷ *Institute for Cosmic Ray Research, University of Tokyo, Kashiwa, Chiba, Japan.*

⁸ *Service de Physique Théorique, Université Libre de Bruxelles, Boulevard du Triomphe (Campus de la Plaine), Ixelles 1050, Belgium.*

⁹ *Karlsruhe Institute of Technology - Campus North - Institut für Kernphysik, Karlsruhe, Germany and New York University, New York, USA.*

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*Speaker.

5 **1. Introduction**

6 A multi-messenger approach can help to identify the sources of ultra-high energy cosmic rays
7 (UHECRs). It is difficult to do so from their arrival directions since CRs are charged particles
8 so are deflected en-route to Earth. This deflection cannot be computed precisely since the CR
9 composition at ultra-high energies as well as the intervening magnetic field strength are poorly
10 known. If the CR composition is light i.e. mainly protons, the magnetic deflection may be only a
11 few degrees above a few tens of EeV. Secondary particles including neutrinos (ν s) are produced in
12 the sources by the interactions between the CRs and ambient photon and matter fields. Neutrinos
13 have no charge and interact only through the weak force, so their arrival directions do point back to
14 where they originated from, although they are also hard to detect for the same reason. In this work
15 we describe a joint analysis by the IceCube, Pierre Auger and Telescope Array Collaborations to
16 search for angular correlations between the arrival directions of high-energy ν s and UHECRs that
17 would provide insight into the long-standing open question of cosmic ray origin.

18 **2. The observatories and data sets**

19 **2.1 The IceCube Neutrino Telescope**

20 IceCube is a cubic-kilometer neutrino detector installed in the ice at the geographic South Pole
21 [1] between depths of 1450 m and 2450 m. Neutrino reconstruction relies on the optical detection of
22 Cherenkov radiation emitted by secondary particles produced in ν interactions in the surrounding
23 ice or the nearby bedrock.

24 Depending on the flavor of the interacting neutrino and the type of interaction, different sig-
25 natures are expected in the detector. The one with the best angular resolution ($\sim 1^\circ$) is the charged
26 current ν_μ interaction where a track is produced as the outgoing muon traverses the detector. Cas-
27 cades are produced in the detector as a result of charged current $\nu_{e,\tau}$ interactions or all neutral
28 current neutrino interactions. In this case the angular resolution is poorer (around 15° above 100
29 TeV). The resolution of the deposited energy for tracks and cascades is around 15% [2] but cas-
30 cades have a better resolution for the reconstructed neutrino energy since most of the energy is
31 deposited in the detector, which is not the case for tracks.

32 Different data sets are considered in this work. A set of cascades that have been detected in
33 a search for high-energy events where the interaction occurs within the detector is used [3]. This
34 set of 39 cascades, which is part of the HESE ('High-Energy Starting Events') set, consists of
35 data taken between May 2010 and May 2014 and is called 'high-energy cascades' in the following
36 (deposited energy range: $\sim 30 - 2000$ TeV). A second set of events referred to as 'high-energy
37 tracks' (energy above ~ 70 TeV) is formed by two parts. The first part is the 7 tracks in the HESE
38 sample [3] that have energies and directions which make them more likely to be of extraterrestrial
39 origin than the other track events in that sample. The second part is 9 muon tracks found in a search
40 of a diffuse up-going ν_μ flux [4]. These 9 muon tracks, found in two years of data (May 2010-May
41 2012), belong to a high energy-proxy excess with respect to atmospheric predictions. This excess
42 is compatible with an astrophysical E^{-2} flux at the level indicated by the HESE analysis [4].

43 The third data set used is called '4-year point-source sample' [5] and consists of events with
44 sub-degree median angular resolution detected between May 2008 and May 2012. The set includes

45 about 400,000 events, mostly up-going atmospheric vs from the Northern hemisphere and high-
46 energy atmospheric muons from the Southern hemisphere.

47 **2.2 The Pierre Auger Observatory**

48 The Pierre Auger Observatory is located in Malargüe, Argentina (35.2° S, 69.5° W, 1400 m
49 a.s.l.) [6]. It consists of a surface array of 1660 water-Cherenkov detectors covering an area of
50 approximately 3000 km². The array is overlooked by 27 telescopes at four sites which constitute
51 the fluorescence detector. The surface and air fluorescence detectors are designed to perform com-
52plementary measurements of air showers created by UHECRs.

53 The data set used for the present analysis includes 231 events with $E > 52$ EeV and zenith
54 angles smaller than 80° recorded by the surface detector array from January 2004 to March 2014
55 [7]. The exposure determined by geometrical considerations for the period analyzed amounts to
56 66,452 km² sr yr. The angular resolution, defined as the 68th percentile of the distribution of open-
57ing angles between the true and reconstructed directions of simulated events, is better than 0.9° [8].
58 The absolute energy scale, given by the fluorescence calibration, has a systematic uncertainty of
59 14% and the energy resolution is about 12% [9].

60 **2.3 Telescope Array**

61 The Telescope Array (TA) is located in Utah, USA (39.3°N, 112.9°W, 1400 m a.s.l.) [10] and
62 detects extensive air showers generated by UHECRs. It comprises a 700 km² surface array of 507
63 plastic scintillation detectors, 3 m² each, distributed in a square grid with 1.2 km spacing. The array
64 is overlooked by 3 fluorescence detector stations with 38 telescopes.

65 The UHECR sample considered in the present analysis consists of 87 events with $E > 57$ EeV
66 and zenith angles smaller than 55° collected between May 2008 and May 2014 by the surface de-
67tector. A subset of events has been published in [11]. The total exposure is around 9,500 km² sr yr.
68 The angular resolution is better than 1.5°. The energy scale of the surface detector is also cali-
69brated with the fluorescence detector. The energy resolution is better than 20% with a systematic
70 uncertainty on the absolute energy scale of 21% [12].

71 **3. Data analyses**

72 There are three different analyses which are presented in detail in this Section. A cross-
73 correlation and a stacking likelihood analysis are done on the sample of high-energy cascades
74 and high-energy tracks and the UHECRs detected by Auger and TA. Cascade and track-like events
75 are considered separately since, due to their different angular resolutions, the angular distance at
76 which a signal (if any) can be detected would be different. A third analysis is performed on stacked
77 UHECRs and the IceCube 4-year point-source sample.

78 The magnetic deflections of CRs have to be accounted for in the likelihood tests. For sim-
79 plicity, we model individual deflections as a random variable 2-dimensional Gaussian distribution
80 with the energy-dependent standard deviation $\sigma_{\text{MD}}(E_{\text{CR}}) = D \times 100 \text{ EeV} / E_{\text{CR}}$, and we consider
81 the representative values $D = 3^\circ$, 6° and 9° (the latter is just used for the likelihood test with the
82 high-energy cascades and high-energy tracks). These values are reasonable test values as shown
83 by a backtracking simulation of the detected UHECRs in the galactic magnetic field models of

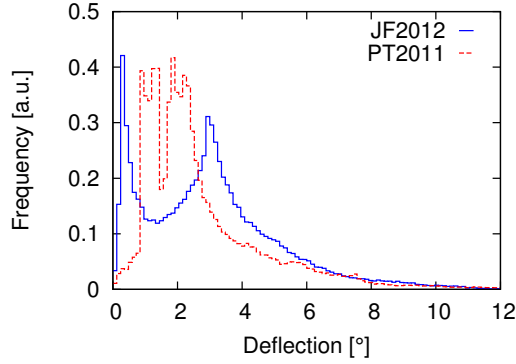


Figure 1: Distribution of UHECR deflections in two models for the regular component of the galactic magnetic field, PT2011 [13] and JF2012 [14], for a rigidity $E/Z = 100$ EeV.

84 Pshirkov et al. [13] and Jansson and Farrar [14] and assuming these are protons with $E = 100$ EeV.
 85 The distributions of the obtained deflections are different for each model (Fig. 1), but the median
 86 values for both are 2.7° . We have then chosen an average value of 3° . The values of 6° and 9° are
 87 also considered to account for larger deflections that could arise from other light CR components
 88 ($Z = 2, 3$) or a stronger than predicted strength of the intervening magnetic fields.

89 3.1 UHECR correlation analyses with high-energy cascades and high-energy tracks

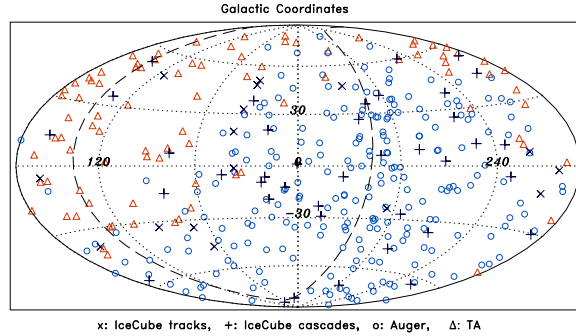


Figure 2: Aitoff-Hammer projection of the sky in galactic coordinates showing the arrival directions of the IceCube high-energy cascades (plus signs) and high-energy tracks (crosses), and the UHECRs detected by Auger (circles) and TA (triangles). The dashed line indicates the Super-galactic plane.

90 The arrival directions of the high-energy tracks and high-energy cascades in IceCube, and of
 91 the UHECRs measured by Auger and TA are shown in Fig. 2 in galactic coordinates. Two different
 92 analyses are performed with this data set: a cross-correlation and a stacking likelihood analysis.

93 The cross-correlation method consists of computing the number of UHECR- ν pairs as a func-
 94 tion of their angular separation α , $n_p(\alpha)$, and comparing it to the expectation from an isotropic
 95 distribution of arrival directions of CRs. The angular scan performed in this case is between 1° and
 96 30° with a step of 1° and, due to this scan, the method does not rely on any assumption about the
 97 exact value of the strength of the magnetic deflections, unlike the likelihood method.

98 In Fig. 3 we show the results obtained applying the cross-correlation method to the data. For
 99 the case of the sample of high-energy tracks, the maximum departure from the isotropic expectation

100 of CRs (fixing the positions of the ν s) obtained is at an angular distance of 2° , where 1.5 pairs were
 101 expected on average and 4 pairs were detected. The post-trial p -value is 34%. For the analysis done
 102 using the high-energy cascade events, the smallest pre-trial p -value occurs at an angular distance of
 103 22° , for which 575 pairs are observed while 490.3 were expected on average. The post-trial p -value
 104 is 5×10^{-4} with respect to expectations of an isotropic flux of CRs. As an a posteriori study, we also
 105 evaluated the significance under the hypothesis of an isotropic distribution of neutrinos, fixing the
 106 UHECR arrival directions (note that this alternative hypothesis preserves the degree of anisotropy
 107 in the arrival directions of CRs that is suggested by the TA ‘hot spot’ [11] or the excess around
 108 Cen A reported by Auger [7]). The post-trial p -value is 8.5×10^{-3} . Thus the cross-correlation
 109 of UHECRs with the high-energy cascades provides a potentially interesting result, which we will
 110 continue to monitor in the future.

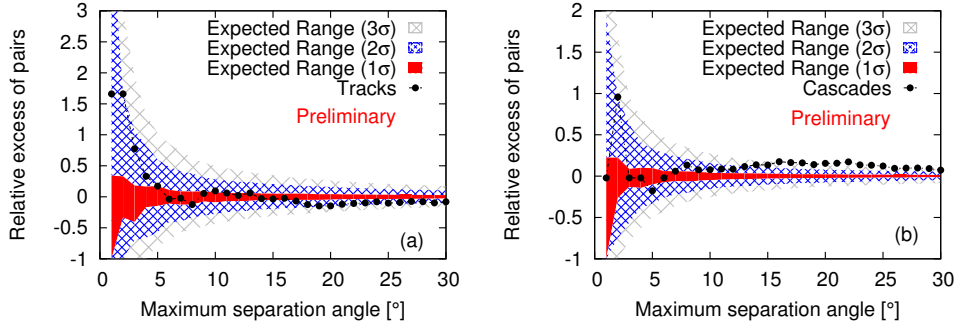


Figure 3: Relative excess of pairs, $[n_p(\alpha)/\langle n_p^{\text{iso}}(\alpha) \rangle] - 1$, as a function of the maximum angular separation between the neutrino and UHECR pairs, for the analysis done with the high-energy tracks (a) and with the high-energy cascades (b). The 1σ , 2σ and 3σ fluctuations expected from an isotropic distribution of arrival directions of CRs are shown in red, blue and grey, respectively.

Stacking a set of sources is a well known way of accumulating multiple weaker signals to enhance the discovery potential. Since ν s are not deflected on their way to Earth, the stacking over sources is replaced by stacking over the set of ν arrival directions. An unbinned likelihood method is used [15], with the log of the likelihood function defined as:

$$\ln \mathcal{L}(n_s) = \sum_{i=1}^{N_{\text{Auger}}} \ln \left(\frac{n_s}{N_{\text{CR}}} S_{\text{Auger}}^i + \frac{N_{\text{CR}} - n_s}{N_{\text{CR}}} B_{\text{Auger}}^i \right) + \sum_{i=1}^{N_{\text{TA}}} \ln \left(\frac{n_s}{N_{\text{CR}}} S_{\text{TA}}^i + \frac{N_{\text{CR}} - n_s}{N_{\text{CR}}} B_{\text{TA}}^i \right),$$

111 where n_s , the number of signal events, is the only free parameter, $N_{\text{CR}} = N_{\text{Auger}} + N_{\text{TA}}$, S_{Auger}^i and
 112 S_{TA}^i are the signal PDFs (Probability Distribution Functions) for Auger and for TA, respectively, and
 113 B_{Auger}^i and B_{TA}^i are the corresponding background PDFs. The signal PDFs, in which the different
 114 neutrino positions are stacked, take into account the exposure and angular resolution of the CR
 115 observatories, the assumed CR magnetic deflections and the likelihood maps for the reconstruction
 116 of the ν s arrival directions (Fig. 4). The background PDFs are the normalized exposures of the
 117 CR observatories. The test statistic TS is defined as: $TS = -2 \ln \frac{\mathcal{L}(n_s)}{\mathcal{L}(n_s=0)}$ and follows a distribution
 118 close to χ^2 with one degree of freedom.

119 The results for the stacking method are shown in Table 1. The most significant deviation from
 120 an isotropic flux of CRs occurs for the magnetic deflection parameter $D = 6^\circ$ with the high-energy

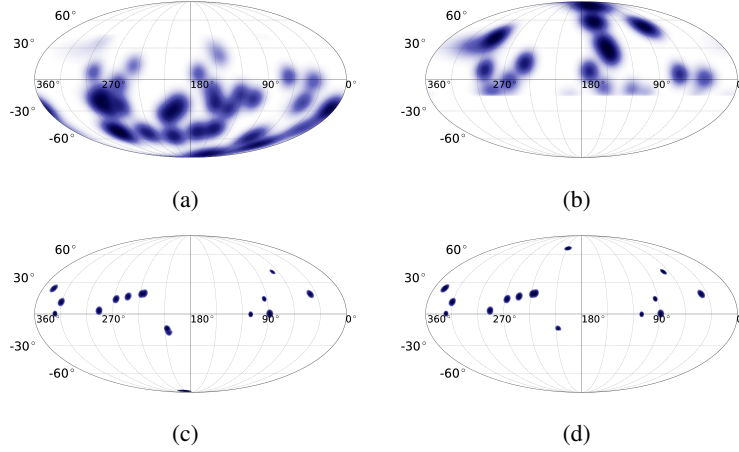


Figure 4: The signal PDFs before the Gaussian smearing in equatorial coordinates. The upper plots are for the high-energy cascades, while the lower ones are for the high-energy tracks. The declination-dependent exposure is applied for Auger in the left-hand plots and for TA in the right-hand plots.

D	High-energy tracks		High-energy cascades	
	n_s	pre-trial p -value	n_s	pre-trial p -value
3°	4.3	0.22	53.7	2.1×10^{-3}
6°	0.5	0.48	85.7	2.7×10^{-4}
9°	-	underfluctuation	106.1	3.8×10^{-4}

Table 1: Results for the stacking analyses with the sample of high-energy tracks and high-energy cascades.

121 cascades. The observed pre-trial p -value of 2.7×10^{-4} corresponds to 8×10^{-4} post-trial, i.e. after
 122 accounting for the 3 values of D considered. Therefore, we obtain a potentially interesting result
 123 with the cascades as in the case of the cross-correlation analysis, which will be further studied with
 124 a larger number of events.

125 The angular distance at which an excess would occur in the case of the cross-correlation in-
 126 cludes not only the magnetic deflections at the corresponding CR energies but also the experi-
 127 mental angular uncertainties. In the case of cascades, the angular uncertainty is $\sim 15^\circ$ and it is
 128 $\sim 1^\circ$ for CRs. Since most CRs in the data set have $E_{\text{CR}} \sim 60 \text{ EeV}$, the assumed magnetic deflec-
 129 tion where the smallest p -value is found in the case of the likelihood analysis with the cascades
 130 ($\sigma_{\text{MD}}(E_{\text{CR}}) = 6 \times 100 \text{ EeV} / E_{\text{CR}}$) is $\sim 10^\circ$ in most cases. To translate this into an angular scale
 131 where one would find an excess in the cross-correlation analysis (if there were a signal), we add
 132 in quadrature and we obtain $\sqrt{(15^\circ)^2 + (1^\circ)^2 + (10^\circ)^2} \sim 18^\circ$. This scale is comparable to the 22°
 133 where the smallest p -value is found for the cross-correlation performed with the cascades. Hence,
 134 the magnetic deflection of the CRs one would infer from the cross-correlation analysis with the
 135 cascades is comparable to the one assumed for the smallest p -value in the likelihood analysis, even
 136 if none of the results are at a level where no strong claims can be made.

137 3.2 Stacking search for neutrino point-sources in the 4 year point-source sample

138 The vs data set used for this analysis is the IceCube point-source data set. A stacking analysis

139 is done but in this case (as opposed to the previous one) the stacked sources are the measured posi-
 140 tions of UHECRs. An unbinned likelihood method is performed where the log likelihood is defined
 141 as: $\ln \mathcal{L}(n_{sv}, \gamma) = \sum_{i=1}^{N_v} \ln \left(\frac{n_{sv}}{N_v} S_i(\gamma, E_i) + \left(1 - \frac{n_{sv}}{N_v}\right) B_i \right)$, with n_{sv} the total number of neutrino sig-
 142 nal events and γ the neutrino spectral index assuming a power-law energy spectrum. The stacked
 143 signal PDF is defined as $S_i = \frac{\sum_{j=1}^{N_{CR}} R_{IC}(\delta_j, \gamma) S_i^j}{\sum_{j=1}^{N_{CR}} R_{IC}(\delta_j, \gamma)}$, with $R_{IC}(\delta_j, \gamma)$ the IceCube accep-
 144 tance at the declination of a CR j . The signal PDF is $S_i^j = \frac{1}{2\pi(\sigma_i^2 + \sigma_j^2)} e^{-r_{ij}^2/2(\sigma_i^2 + \sigma_j^2)} P(E_i|\gamma)$, where
 145 r_{ij} is the angular distance between the vs and CRs, σ_i is the angular resolution of the ν and $P(E_i|\gamma)$
 146 is the energy PDF (function of the reconstructed energy proxy E_i and γ). The CR deflection is mod-
 147 eled as an extension of the source in the likelihood with $\sigma_j = \sqrt{\sigma_{MD}^2 + \sigma_{exp}^2}$, where $\sigma_{exp} = 0.9^\circ$ or
 148 1.5° is the experimental angular resolution of Auger or TA, respectively. The background PDF is
 149 $B_i = B(\theta_i) P_{atm}(E_i)$ where the energy PDF $P_{atm}(E_i)$ represents the probability of obtaining an energy
 150 E_i from atmospheric backgrounds. The two free parameters are n_{sv} and γ .

151 If we were to consider the entire data set of UHECRs as sources in the likelihood, their to-
 152 tal extensions would cover a considerable amount of the sky, reducing the effectiveness of the
 153 anisotropy search. Hence we decided to introduce a threshold energy, E_{th} , below which the CRs
 154 would not be considered. To obtain E_{th} , we have performed simulations of ν arrival directions and
 155 we have used the real sample of UHECRs, sampling different E_{th} energies. The flux required for
 156 a pre-trial p -value of 5σ as a function of E_{th} , is shown in Fig. 5. With the objective of keeping
 157 the flux required per source for discovery low while keeping as many UHECR events as possible,
 158 an energy threshold $E_{th} = 85$ EeV has been adopted. After the application of this cut, 15 CRs in
 159 the Northern sky and 12 CRs in the Southern sky remain. Due to the different energy ranges be-
 160 tween the neutrino candidate events in the Southern hemisphere (~ 100 TeV – 100 PeV) and in the
 161 Northern hemisphere (~ 1 TeV – 1 PeV), for the same number of signal events per source, the nor-
 162 malization of flux required for Northern sources is smaller than for Southern ones. Consequently
 163 (and thanks to the gain given from having more stacked sources), the all sky sensitivity is similar
 164 to the Northern one (Fig. 5). We have thus not made a distinction between the neutrino sets from
 165 each hemisphere in this analysis.

166 Applying the method to the actual data, all observations are found to be compatible with the
 167 background only hypothesis. The smallest post-trial p -value is 25% for the hypothesis of $D = 3^\circ$,
 168 with a fitted excess of ~ 123 events and $\gamma = -3.24$. The analysis with $D = 6^\circ$ yields a p -value
 169 larger than 50%.

170 4. Conclusions

171 Three analyses have been performed to investigate correlations between UHECRs detected by
 172 the Pierre Auger Observatory and Telescope Array with various samples of IceCube ν candidates.
 173 The results we obtained are all below 3.3σ . There is a potentially interesting result in the analyses
 174 performed with the set of high-energy cascades when comparing the results to isotropic arrival
 175 directions of CRs. If we compare the results to an isotropic flux of neutrinos (fixing the positions
 176 of the CRs) to consider the effect of anisotropies in the arrival directions of CRs (such as the TA
 177 hot spot), the significance is $\sim 2.4\sigma$. These results were obtained with relatively few events and
 178 we will update these analyses in the future with further statistics to follow their evolution.

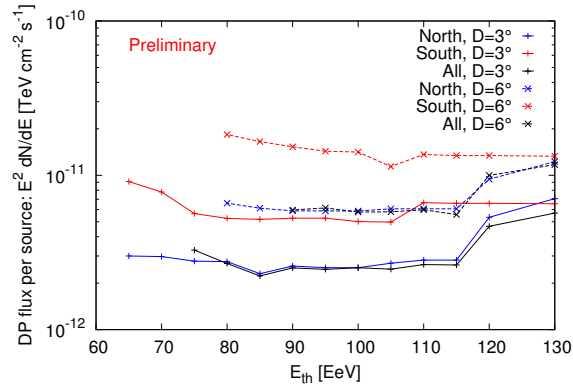


Figure 5: Flux normalization required per source for a discovery potential (DP) of 5σ (pre-trial) for the stacking analysis with the neutrino point-source data set and UHECRs with energies above values of E_{th} , for the Northern and Southern skies separately and together, for the assumed deflections $\sigma_{MD}(E_{CR}) = D \times 100 \text{ EeV}/E_{CR}$, $D = 3^\circ, 6^\circ$.

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